

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

03/12/2002 GGBREGI 00000044 10090805

01 FC:101

740.00 DP

PTO-1556  
(5/87)

\*U.S. GPO: 2000-468-987/39595

FORM PTO 1082-Continuations/Divisionals

VIA HAND DELIVERY

PATENT  
Pocket No. 36856.649  
Date March 6, 2002

BOX PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:  
Transmitted herewith for filing is the patent application of:

Inventor(s): Michio KADOTA

For: SURFACE ACOUSTIC WAVE DEVICE AND COMMUNICATION APPARATUS

This is a ☐ CONTINUING ☒ DIVISIONAL ☐ CONTINUATION-IN-PART of prior application Serial No. 09/613,777 Filed July 11, 2000.

Enclosed are:

23 Pages of Specification

8 Sheet(s) of drawing (☒ formal (☐ informal)

☒ Copy of Declaration and Power of Attorney from a prior application (for continuing and divisionals).

☒ An assignment to Murata Manufacturing Co., Ltd. of 26-10 Tenjin 2-chome, Nagaokakyo-shi, Kyoto-fu 617-8555, JAPAN was filed and recorded in the prior application on July 11, 2000, Reel 010970, Frame 0989.

☒ A certified copy of Japanese Patent Application No. 11-207820 filed July 22, 1999 from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119, were filed in prior application No. 09/613,777.

☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.

☐ Change of Correspondence Address

☐ INCORPORATION BY REFERENCE - The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated above, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☐ DELETION OF INVENTOR(S) - Signed statement attached deleting inventor(s) in the prior application.

☐ Information Disclosure Statement, Form PTO 1449, and cited reference(s).

☒ Preliminary Amendment

☒ General authorization/request to Petition for Extensions of Time

☒ Return Postcard

FOR:	NO. FILED	NO. EXTRA	SMALL ENTITY RATE	SMALL ENTITY FEE		OTHER THAN SMALL ENTITY RATE	OTHER THAN SMALL ENTITY FEE
BASIC FEE				\$370.00	OR		\$ 740.00
TOTAL CLAIMS	3 -20=	-0-	X 9	\$	OR	X 18	\$ -0-
INDEP CLAIMS	1 -3=	-0-	X 40	\$	OR	X 80	\$ -0-
<input type="checkbox"/> MULTIPLE DEP CLAIMS PRESENTED			X+ 130	\$	OR	+ 260	\$
			TOTAL =			TOTAL:	\$ 740.00

☐ Please charge my Deposit Account No. 50-1353 the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.

☒ Credit Card Payment Form in the amount of \$ 740.00 to cover the filing fee is enclosed.

☐ Credit Card Payment Form for \$\_\_\_\_\_ covering the Assignment Recordation Fee is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1353. A duplicate copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17.

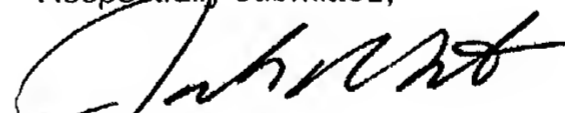
☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-1353. A duplicate copy of this sheet is enclosed.

☐ Any patent application processing fees under 37 CFR 1.17.

☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

☐ Any filing fees under CFR 1.16 for presentation or extra claims.

Respectfully submitted,



Joseph R. Keating  
Registration No. 37,368

KEATING & BENNETT LLP  
10400 Eaton Place, Suite 312  
Fairfax, VA 22030  
(703) 385-5200

PATENT

Docket No.: 36856.649

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Mikio KADOTA**

**For: SURFACE ACOUSTIC WAVE DEVICE AND COMMUNICATION APPARATUS**

New Divisional Application of Parent Serial No. 09/613,777

Filing Date: March 6, 2002

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**CERTIFICATE OF HAND DELIVERY**

BOX PATENT APPLICATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

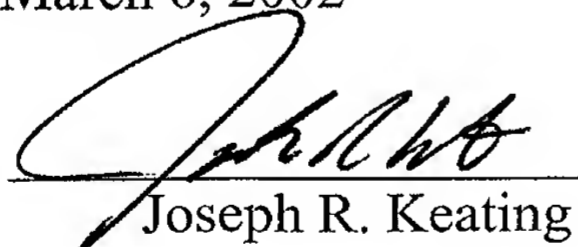
Sir:

Date of Deposit: March 6, 2002

I hereby certify that an application for patent, including:

23 pages of Specification (which includes 17 claims and a one-page Abstract); 8 Sheets of formal Drawings; a copy of the executed Combined Declaration and Power of Attorney from prior application 09/613,777; a Credit Card Payment Form (PTO-2038) authorizing a charge to my credit card in the amount of \$740.00 to cover the filing fee; a Preliminary Amendment; Transmittal Letter; General Authorization/Request to Petition for Extensions of Time; and Return Postcard are being deposited with the U.S. Patent Office at the Customer Service Window of the U.S. Patent Office on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Date of Deposit: March 6, 2002

  
\_\_\_\_\_  
Joseph R. Keating

Via Hand Delivery  
PATENT  
36856.649

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Michio KADOTA  
Divisional Application of Parent Serial No.: 09/613,777  
Filing Date: Concurrently herewith  
**For: SURFACE ACOUSTIC WAVE DEVICE AND  
COMMUNICATION APPARATUS**

**GENERAL AUTHORIZATION/REQUEST TO PETITION FOR EXTENSIONS OF TIME**

Assistant Commissioner for Patents  
Washington, D.C. 20231

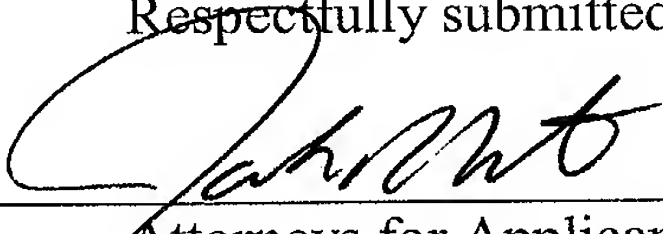
Dear Sir:

With reference to the subject application, and pursuant to 37 C.F.R. § 1.136, Applicant(s) hereby authorize(s) and request(s) the Commissioner to treat any correspondence requiring a petition for extension of time as containing such a request therefor for the appropriate length of time. This general authorization is effective during the pendency of this application, including any division or continuing application therefrom.

Where no check is received by the Commissioner, you are hereby authorized to charge payment of the requisite petition fees, or charge any additional fee required under 37 C.F.R. § 1.17, or credit any overpayment of same, to Deposit Account No. 50-1353. A duplicate copy of this general authorization is enclosed.

Date: March 6, 2002

Respectfully submitted,

  
Attorneys for Applicant(s)

Joseph R. Keating  
Registration No. 37,368

Christopher A. Bennett  
Registration No. 46,710

**KEATING & BENNETT LLP**  
10400 Eaton Place, Suite 312  
Fairfax, VA 22030  
Telephone: (703) 385-5200